Instructions Rev. 01/20/06

# COMMONWEALTH OF KENTUCKY Instructions for Obtaining a Kentucky State ABC License

#### **REQUIREMENTS:**

- a. You must be at least 21 years of age to apply.
- b. You must be a Ky. Resident for the past year unless you apply as a corporation, LLC or Ltd. Partnership.
- c. You must be a citizen of the US unless you apply as a corporation, LLC or Ltd. Partnership ownership.
- d. Individuals, partners, officers, directors or managers may not apply if, within the past 5 years, they have been convicted of any felony; or within the past 2 years have been convicted of any alcohol or controlled substance misdemeanor.
- STEP 1. You must advertise your intention to apply for an ABC license(s) one time in a newspaper of general circulation in the area where the premises to be licensed is located. An officer of the newspaper must complete the affidavit of publication, which is enclosed. The completed affidavit and clipping must be attached to your application.
- STEP 2. Answer all questions and have the form notarized. Incomplete or deficient applications delay processing and your application may be returned.
- STEP 3. Attach a **certified check, cashier check, or money order payable to: Kentucky State Treasurer** for your application fee(s). See the attachment to determine the appropriate amount due based on license type and premises location.

  WE MAY NOT ACCEPT CASH BY MAIL OR HAND DELIVERY!!!!!!
- STEP 4. Kentucky residents must submit the appropriate fee **payable to:** Kentucky State Treasurer for the Administrative Office of the Courts to obtain a statewide Kentucky police record check. The cost is \$15.00 per person and a record check must be conducted on all persons listed in the Basic Application under Section D-7. You may submit one (1) check for all backgrounds provided this check is separate from your check for licensing fees. Non-residents are responsible for providing a **statewide** police record check from their state(s) of residence for the past five (5) years. If you have not lived in Kentucky for five (5) years, you must submit a statewide police record check from the state(s) previously resided in for those years along with your fee for Kentucky background checks.
- STEP 5. If you apply as a corporation, limited partnership, or limited liability company, attach a copy of your articles of incorporation, partnership papers, or organizational papers from the Secretary of State. Your company must be approved to do business in the state of Kentucky and in good standing with the Kentucky Secretary of State's Office.
- STEP 6. If you do not own the real estate where you will sell alcohol, attach a signed copy of your lease. All lease agreements must run through the full period of your license.
- STEP 7. Under KRS 164.772 Ky. State ABC may deny a license to defaulted student loan borrowers of a Kentucky Higher Education Loan. Therefore, complete the attached Self-Certification Compliance Form enclosed in this packet and return it with your State ABC application.
- STEP 8. Contact the Kentucky Revenue Cabinet at (502) 564-3306 to obtain the proper Kentucky sales tax numbers for your business. Your license will not be issued without these numbers.
- STEP 9. Attach any other required affidavits such as: fire marshal certificate of seating capacity for restaurants; surety bonds for manufacturers and wholesalers, etc... Your Schedule(s) will list any attachments you need to make.
- STEP 10. Take your application to your local ABC administrator and obtain their signature of approval on your state application.

  (LOCAL LICENSING): There may be local requirements and fees in addition to this state application you must meet. The longer your state application sits in the Local office pending approval the longer it will take the state to process your application. Therefore, it is to your advantage to forward your state application to Frankfort as soon as possible.

  Visit our web site for a list of the Local Administrator in your area at <a href="http://abc.ky.gov/">http://abc.ky.gov/</a>
- (TIME) New licenses take the State Office approximately 30 60 days to process. If your license is not issued for any reason, you must submit a <u>written request for a refund</u>. The Office will retain \$50 of your application fee for processing costs.

If you have any questions or need assistance, please contact our Office or visit our web site.

http://abc.ky.gov

**FRANKFORT:** Office of Alcoholic Beverage Control

1003 Twilight Trail

Frankfort, KY 40601-8400 (502) 564-4850 phone (502) 564-1442 fax

**NOTE:** You are required to obtain a Federal Special "Occupational Tax" License from the Alcohol and Tobacco Tax and Trade

Bureau (TTB). You must contact their office to obtain an application form and information about your federal permit:

Alcohol and Tobacco Tax and Trade Bureau, Telephone Number (513) 684-3334

National Revenue Center

550 Main St., Cincinnati, Ohio 45202-3263

RD, and ML for Licenses with 70% Food & RWL

Woodford County

Page-2-Liquor/Wine by Areas

Rev. 07/06/06

### TYPE OF LIQUOR AND WINE LICENSES AVAILABLE BY **AREAS**

#### Areas that qualify to hold Limited Restaurant Liquor/Wine/Beer by the Drink with 70% food sales by election under KRS 242.185(6)

City of Burnside in Pulaski Co. City of Calvert City in Marshall Co. City of Cave City in Barren Co.

City of Corbin in Whitley & Knox Counties

City of Corinth in Grant Co. City of Danville in Boyle Co. City of Elizabethtown in Hardin Co. City of Franklin in Simpson Co. City of Georgetown in Scott Co. City of Guthrie in Todd Co. City of Harrodsburg in Mercer Co. City of Kuttawa in Lyon Co. City of London in Laurel Co. City of Mayfield in Graves Co. City of Murray in Calloway Co. City of Pineville in Bell Co.

City of Radcliff in Hardin Co. City of Williamstown in Grant Co. County of Oldham County of Shelby

Premises that qualify to hold Golf Course Liquor/Wine/Beer by the Drink Licenses by local option elections in dry counties.

Located in the County of: (Name of the Golf Club)

**Boyle County** Danville Country Club **Boyle County** Old Bridge Golf Club Calloway County (City of Murray) Murray Golf Course

Mayfield Golf & Country Club Graves County (City of Mayfield)

Pine Valley Golf Course (held 2 elections, and one to go wet and one to stay wet) Hardin County

Jessamine County Champions Golf Course in Nicholasville

Madison County Arlington Golf Course

Madison County The Bull at Boone's Trace Golf Course

Rolling Hills Country Club McCracken County **Oldham County** Harmony Landing Country Club

Pendleton County Pendleton Country Club and Golf Course

Scott County Canewood Golf Course Scott County Longview Golf Course Persimmon Ridge Golf Course **Shelby County Union County** Breckinridge Golf Course

Premises that qualify to hold Farm or Small Winery Licenses by local option elections in dry counties.

(Name of the Winery) Located in the County of:

Old Crow Inn Winery also known as Chateau DuView Corbeau **Boyle County** 

Henry County Smith-Berry Vineyard

Caldwell County Black Oak Vineyards & Winery Jessamine County Chrisman Mill Vineyards Letcher County **Highland Winery** Madison County Acres of Land Winery

Elk Creek Vineyards Owen County Pulaski County Sinking Valley Vineyards Rowan County CCC Trail Vineyards

Scott County Amerson Farm Orchard (Closed 05) Ky. Elder Ridge Winery (Closed 04) Scott County

Washington County Rolling Hills Vineyards Washington County Simple Pleasures Wines Washington County Long Lick Farm Winery

### HOW TO FIGURE STATE ABC LICENSE FEE (S)

- 1. 2.
- 3.
- Pick the County where your premises are to be located from this chart.

  Pick the month you want the license(s) to become effective.

  Which fee will you pay? ( ) Full Year Fee ( ) Half Year Fee

  Go to the back page of your application "Schedule" and find the exact dollar (\$) amount to pay.

  All other applicants use this table

COUNTY WHERE PREMISES ARE LOCATED	PAY FULL YEAR FEE For licenses issued between	PAY HALF YEAR FEE For licenses issued between
Anderson	July – December	January – June
Bell	June –November	December - May
Boone	October – March	April – September
Bourbon	July – December	January – June
Boyd	July – December	January – June
Boyle	June –November	December - May
Bracken	July – December	January – June
Barren	May – October	November – April
Bullitt	February – July	August – January
Caldwell	April-September	October - March
Calloway	April – September	October – March
Campbell	November – April	May – October
Carroll	July – December	January – June
Christian	April – September	October - March
Clark	May – October	November – April
Daviess	February – July	August – January
Floyd	June – November	December – May
Franklin	July – December	January – June
Fulton	April – September	October – March
Gallatin	July – December	January – June
Grant	December – May	June – November
Graves	April – September	October – March
Hardin	February – July	August – January
Harlan	June – November	December – May
Harrison	June – November	December – May
Henderson	March – August	September – February
Henry	July – December	January – June
Hopkins	May – October	November – April
Jessamine	May – October	November – April
Kenton	December – May	June – November
Knox	June - November	December - May
Laurel	June - November	December - May
Letcher	June - November	December - May
Lewis	July – December	January – June
Logan	May – October	November – April
Lyon	April – September	October – March
Madison	June – November	December – May
Magoffin	June – November	December – May
Marion	May – October	November – April
Marshall	April – September	October – March
Mason	July – December	January – June
McCracken	April – September	October – March
Meade	February – July	August – January
Mercer	May – October	November – April
Montgomery	June – November	December – May
Muhlenberg	May – October	November - April
Nelson	May – October	November – April
Nicholas	July – December	January – June
Oldham	July – December	January – June
Owen	February – July	August – January
Pendleton	July – December	January – June
Perry	June – November	December - May
Pike	July – December	January – June
Pulaski	June – November	December - May
Rowan	July – December	January – June
Scott	July – December	January – June
Shelby	July – December	January – June
Simpson	May – October	November - April
Todd	May – October	November – April
Union	March – August	September – February
		November - April
Warren		I INDVERTIDE - AUTO
Washington	May - October	
Washington	May – October	November – April

Page 2 – Pick Fee Rev. 11/14/05

### HOW TO FIGURE STATE ABC LICENSE FEE (S)

- Pick the County where your premises are to be located from this chart.
   Pick the month you want the license(s) to become effective.
   Which fee will you pay? ( ) Full Year Fee ( ) Half
- Which fee will you pay? ( ) Full Year Fee
   Go to the back page of your application "Schedule" and find the exact dollar (\$) amount to pay.

Fayette County (Lexington Ky.) Applicants use this table				
Fayette County Zip Code of Premises	PAY <u>FULL</u> YEAR FEE For licenses issued between	PAY <u>HALF</u> YEAR FEE For licenses issued between		
40501 to 40505	October – March	April – September		
40506 to 40509	November – April	May – October		
40510 to 41906	December – May	June - November		

Jefferson County (Louisville, Ky.) Applicants use this table				
Jefferson County Zip code of Premises	PAY <u>FULL</u> YEAR FEE For licenses issued between	PAY <u>HALF</u> YEAR FEE For licenses issued between		
40023	February – July	August – January		
40025 to 40027	March – August	September – February		
40041	June – November	December – May		
40059	March – August	September – February		
40118	April – September	October – March		
40177	April – September	October – March		
40201 to 40202	December – May	June – November		
40203 to 40204	November – April	May – October		
40205	February – July	August – January		
40206	October – March	April – September		
40207	June – November	December - May		
40208 to 40209	June – November	December – May		
40210 to 40212	April – September	October – March		
40213 to 40216	March – August	September – February		
40217 to 40218	February – July	August – January		
40219	March – August	September – February		
40220 to 40242	February – July	August – January		
40243 to 40251	March – August	September – February		
40252	March – August	September – February		
40253 to 40256	March – August	September – February		
40257	June – November	December - May		
40258	October – March	April – September		
40259	March – August	September – February		
40261 to 40266	December – May	June –November		
40268	October – March	April – September		
40269	March – August	September – February		
40270 to 40289	October – March	April – September		
40290 to 40291	November – April	May – October		
40292	June – November	December – May		
40293 to 40298	November – April	May – October		
40299	March – August	September – February		

### Kentucky ABC

### How to obtain your state criminal history information

### For Non-Kentucky Residents

### Revised 08/17/05

Alabama 334-353-1172 www.dps.state.al.us/public/abi/cic.asp

Alaska 907-269-5767 www.dps.state.ak.us/statewide/background/index.asp

Arizona 602-223-2222 www.azdps.gov/reports/fingerprint/faq/default.asp

Arkansas 501-618-8500 www.asp.state.ar.us/demo/criminal/help p2.php#122

**California** Please contact our office for information.

Colorado 303-239-4208 https://www.cbirecordscheck.com

Connecticut 860-685-8480 www.state.ct.us/dps/spbi.htm

**Delaware** Please contact our office for information.

Florida 850-410-8109 www.fdle.state.fl.us/CriminalHistory/

Georgia 404-986-5000 www.ganet.org/gbi/crimhist.html

Hawaii 808-587-3100 www.hawaii.gov/hcjdc/form.htm

Idaho 208-884-7130 www.isp.state.id.us/identification/crime history/index.html

Illinois 815-740-5160 www.isp.state.il.us/crime/uciahome.cfm

Indiana 317-233-2010 www.in.gov/ai/hr/verification.html

lowa 515-281-4776 www.state.ia.us/government/dps/dci/crimhist.htm

Kansas 785-296-6518 www.accesskansas.org/kbi/criminalhistory/

Louisiana 225-925-6095 www.lsp.org/who support.html#criminal

Maine 207-624-7240 www.informe.org/PCR/

Maryland 888-795-0011 www.dpscs.state.md.us/publicservs/bgchecks.shtml

Massachusetts 617-660-4600 http://www.mass.gov/chsb/

Michigan 517-322-1956 www.michigan.gov/ichat

Minnesota 651-793-2400 www.dps.state.mn.us/bca/CJIS/Documents/Page-3-1.html

**Mississippi** Please contact our office for information.

Missouri 573-526-6153 www.mshp.dps.missouri.gov

Montana 406-444-3625 www.doj.state.mt.us/enforcement/backgroundchecks.asp

Nebraska 402-471-4545 www.nsp.state.ne.us/findfile.asp?ID=209

Nevada 775-687-1600 www.nvrepository.state.nv.us/

### Kentucky ABC

### How to obtain your state criminal history information

### For Non-Kentucky Residents

### Revised 08/17/05

New Hampshire 603-271-2538 www.state.nh.us/safety/nhsp/cr.html#criminal

New Jersey 609-882-2000 ext 2918 www.state.nj.us/lps/njsp/about/serv\_chrc.html#background

**New Mexico** 505-827-9181 www.dps.nm.org/faq/record request.htm

New York 518-485-7675 www.criminaljustice.state.ny.us/ojis/recordreview.htm

North Carolina www.nccourts.org/citizens/GoToCourt/Default.asp?topic=1

North Dakota 701-328-5510 www.ag.state.nd.us/bci/chr/chr.html

Ohio 740-845-2375 www.webcheck.ag.state.oh.us

Oklahoma 405-848-6742 http://www.osbi.state.ok.us/PublicServices.htm

Oregon http://egov.oregon.gov/osp/ID/does/crim history.pdf

Pennsylvania 717-783-5494 http://epatch.state.pa.us/Home.jsp

Rhode Island 401-274-4400 http://www.riag.ri.gov/criminal/bci.php

South Carolina 803-737-9000 www.sled.state.sc.us/default.htm

South Dakota 605-773-3331 dci.sd.gov/administration/id/cch.htm

**Tennessee** 304-625-5590 www.tbi.state.tn.us/divisions/isd\_riu\_fags.htm

**Texas** 512-424-2079 http://records.txdps.state.tx.us/dps\_web/APP\_PORTAL/index.aspx

Utah 801-965-4445 bci.utah.gov/Records/RecOwnRecord.html

Vermont 802-244-8727 ext 5237 www.dps.state.vt.us/cjs/recordcheck6.html

Virginia http://www.vsp.state.va.us/cjis.htm

Washington watch.wsp.wa.gov/

**West Virginia** Please contact our office for information.

Wisconsin 608-266-5764 www.doj.state.wi.us/dles/cib/crimback.asp#Q9

Wyoming attorneygeneral.state.wy.us/dci/chc.html

# EXAMPLE OF PUBLIC NOTICE WHEN APPLYING FOR AN ABC LICENSE

**KRS 243.360** requires a person to first advertise their intention to apply for these licenses in the newspaper. Please use the following to assist you with this requirement. Place your advertisement in the legal section of the newspaper having the largest circulation for the county or city where your premises will be located.

# YOUR ADVERTISEMENT SHOULD READ AS FOLLOWS: (Fill in the blanks)

				_, Mailing address
(List the Name of each individua	l owner(s) or the name of the	e Corporation, L	td, or L.L.C. the license will be issue	d under)
			hereby de	eclares intention(s)
	(Include Street, City, Sta	ate and Zip)		
to apply for a				license(s)
(List <b>all license types</b> you are	e applying for. (Example) Airp	port liquor by the	drink, Alcoholic Beverage Conventi	ion Center by the Drink,
Alcoholic Beverage Convention	Hotel Complex by the drink,	Alcoholic Bever	age Automobile Race Track by the o	drink, Alcoholic Beverage
Horse Race Track by t	he drink, Alcoholic Beverage	e Entertainment	Destination Center by the drink licen	se and so on)
(Be sure to refer to you	ur ABC Schedule form for a	complete list of	all the license types you are making	application for.)
no later than			, The business to	be licensed will be
(Enter the da	te you intend to make applic	cation to the Stat	e ABC)	
located at			Kentucky	·
(List the <b>EXAC</b>	CT street address and city w	here the ABC lic	ense is to be issued)	(Zip)
doing business as				
	(List ti	he name of your	business (D.B.A.))	
The (owner(s); Principal Office	ers and Directors: Limited	d Partners: or i	Members) are as follows:	
	crs and Directors, Limited		vicilibers) are as ioliows.	
		of	viernibers) are as lonows.	
, Title or position	Name		,	
Title or position			, 	nd zip code
,		of	Home address, city, state a	nd zip code
Title or position  Title or position	Name	of	Home address, city, state a	nd zip code
Title or position ,	Name Name	of	Home address, city, state and Home address, city, state and	nd zip code nd zip code
,	Name	of of of	Home address, city, state and Home address, city, state and Home address, city, state and the state	nd zip code nd zip code nd zip code
Title or position  Title or position  ,	Name Name Name	of	Home address, city, state and the stat	nd zip code nd zip code nd zip code
Title or position ,	Name Name	of of of	Home address, city, state and Home address.	nd zip code  nd zip code  nd zip code  nd zip code
Title or position  Title or position  Title or position  Title or position	Name Name Name Name	of of of	Home address, city, state and Home address.	nd zip code  nd zip code  nd zip code  nd zip code
Title or position  Title or position  ,	Name Name Name	of of of	Home address, city, state and Home address.	nd zip code  nd zip code  nd zip code  nd zip code

Forward a clipping of this advertisement along with the Affidavit of Publication to:

of this legal publication. (End of advertisement)

Kentucky Office of Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400 (502) 564-4850 phone (502) 564-1442) fax Page 2 – Advertisement Rev. 02/24/2005

# Commonwealth of Kentucky Office of Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400

(502) 564-4850 phone (502) 564-1442 fax

### **AFFIDAVIT OF PUBLICATION**

Attesting Publication of Intention to Engage in an Alcoholic Beverage Business



The following Affidavit of Publication is to be executed by an officer of the newspaper in which the application advertised, one time before the date of application for an alcoholic beverage license, his/her intention to engage in the business authorized by the license(s) applied for. A clipping of the advertisement must be attached to this Affidavit of Publication. (City) (Name of Officer at Newspaper) (State) Being first duly sworn, says that he / she is \_\_\_\_\_ (Title of Position at Paper) \_\_\_\_\_ a newspaper printed and published in the of the \_\_\_\_\_ (Name of Newspaper) State of \_\_\_\_\_\_County of \_\_\_\_\_, and having a general circulation in the County of , Kentucky, and that the attached advertisement is a true copy and has been Published in said newspaper on the following date(s): Signature of Officer Subscribed and sworn to before me, a Notary Public within and for the State and County aforesaid, by \_\_\_\_\_to me personally known, this \_\_\_\_\_day of \_\_\_\_\_ (year) \_\_\_\_ My Commission expires the \_\_\_\_\_day of \_\_\_\_\_ (year) \_\_\_\_\_ County of \_\_ Notary Public \_\_\_

THIS AFFIDAVIT PROPERLY EXECUTED MUST BE ATTACHED TO THE ABC APPLICATION FOR LICENSING.

### **LEASE AGREEMENT**

I, (We)	
hereby agree to lease to	
the premises located at	,
	,
	inCounty, Kentucky.
The said lease sh	all be for a term of,
beginning	and ending
The rent shall be	payable at a rate of
I understand and	agree upon, that the premises herein named shall be used
for lawful purposes only.	
	Lessor X
	Lessor X
	Lessee X
	Lessee X
Subscribed and sworn to	before me, a Notary Public, on this the
day of	, 20, by the above Lessor and
Lessee.	
	Notary Public
My commission expires	

ABC Edu. Fin. Asst. Self-Certification 12/20/05

### SELF-CERTIFICATION FOR COMPLIANCE WITH

KRS 164.772 Default in repayment obligation under financial assistance program – Professional licensing and certification – Notification.

This form must be completed (signed and dated) by <u>all</u> persons interested in this application, including, but not limited to, officers, partners, and managing members.

If this involves more than one person, make copies in order that each such interested person completes this form.

### <u>Certification of Repayment of Educational Financial Assistance</u>

I hereby certify that I am not in d	, am an applicant for a license related sued by the Kentucky Office of Alcoholic Beverage Control lefault of a repayment obligation, such as a student loan rogram administered by the Kentucky Higher Education
Signature of applicant	

RETURN THIS COMPLETED FORM TO STATE ABC ALONG WITH YOUR APPLICATION

### Page 1- ABC Basic Rev. 02/24/2005

# COMMONWEALTH OF KENTUCKY OFFICE OF ALCOHOLIC BEVERAGE CONTROL

1003 Twilight Trail Frankfort, Kentucky 40601-8400 502.564.4850 phone 502.564.1442 fax

Site I.D. #	

### "BASIC APPLICATION FOR ALCOHOLIC BEVERAGE LICENSES"

Applications may be returned if all questions are not answered completely.

	Leave Blank — I	For ABC Use On					
License # \$				\$		_ Val	
License # \$	Val	License#		\$		_ Val	
Malt Beverage Administrator's Approval					Date		
Distilled Spirits Administrator's Approval					Date		<del></del>
(A) 1. Applicant's name(s) or company to b				(5)	Duna dala da		4
DBA (Name of Business)				issue	d in the appli	e tax numbers (mi icant's name).	
Address of premises to be licensed		· · · · · · · · · · · · · · · · · · ·		discr	epancies	de the number in the name	the
City County _	State	9 digit zip code				sued will preven being processed	
Mailing address if different from above					Soloo 9 I loo :	Tov #	
Contact person 8:00 am – 4:30 pm	e-mail addr	ess	<del></del>	11		Tax # ax #	
Contact phoneFax	Premise	s phone	•			(#	
List all ABC Schedule(s) you have attached	d Enter amount of fee	enclosed \$		Fede	ral EIN #		<del></del>
6. Are you the owner of the real estate If no, you <u>must attach</u> a signed copy full period of your license expiration do List the name of the owner of the pren	By the drink only, By the drink only,  e where these premises are to of your lease. ABC <i>will not</i> issate.	By the package only By the package only be licensed?ue or renew any licensed	Bo , Bo nse(s) unless	th by the c  this lease	extends thro	kage. □Yes [ ough the	
(D) 7. Complete the following for the business propartners, managing members, members, and		y held). Show 100%	of the owner	ship.	d. List all ov	vners, officers, dir	ectors,
NAME AND ADDRESS	ALL PHONE NUMBERS H = HOME W = WORK F = FAX 0 = OTHER	SOCIAL SECURITY NUMBER	TITLE	USA CITIZEN	DATE OF BIRTH	LIST DATE & STATE WHERE YOU RESIDED IN PAST 5 YRS.	% OF OWNERSHIP
	H W F O			□ Yes			%
	H W F O			□ Yes			%
	H W F O			□ Yes			%

Pag	e 2- ABC Basic Rev. 02/24/2005	Site I.D. #			
(E)	( <i>E</i> ) 8. Is the applicant a corporation, limited partnership, or limited liability company, in good standing with the Ky. Secretary of State? Yes No List the State Incorporated or organized in				
9.	Is the entire license fee paid by the applicant and by no other person?	□Yes □No			
	Are the premises to be licensed located within an incorporated city or town?				
11	If yes, list the name of the city or town	 □Yes □No			
	If yes, give the name of the state and license number(s)				
12	If in Kentucky, are you transferring this license to a new location?  Does anyone named in section D 7 of this application have any interest in any kind of alcoholic beverage business or the premis				
12.	of any alcoholic beverage business other than that for which you are herein applying?				
13.	Has the applicant or any person named in section D 7 been convicted of any felony in the past five (5) years or been convicted of misdemeanor directly or indirectly related to alcohol or a controlled substance within the past two (2) years?				
14.	Has a license been suspended or revoked or denied for the premises to be licensed or any person named in section D7 of this Application herein? If yes, attach a statement giving a full explanation, including dates of suspension, revocation, or denial	□Yes □No			
15.	Are the premises to be licensed and the entrance located on the street level?				
	If no, is the business a hotel, club or restaurant?	□Yes □No			
16.	a. Have the premises applied for been licensed to sell alcoholic beverages in the past twelve months?	□Yes □No			
	b. Are the premises currently licensed? c. If yes, give the Kentucky License number (s)	□Yes □No			
	d. Is the license being transferred to you?				
	e. Are you acquiring an interest in the existing business?				
	If yes, check all the following boxes that apply to you. ☐ Inventory ☐ Fixtures and Equipment ☐ Ownership by purc	hase of shares			
( <b>F</b> )	17. THE SELLER SHOULD COMPLETE THIS SECTION IF ITEM # 16 HAS BEEN ANSWERED "YES" OR IF SOMEONE IS TRANSFERRING THEIR LICENSE (S) TO YOU. the seller(s) or owner(s) of the	e business known			
`	(Enter the exact name(s) that appears on the current license(s)				
as_		n the holder of a			
	☐ Malt Beverage (beer) ☐ Liquor by Drink ☐ Liquor by Package ☐ (other) license(s). The licen	ise number(s) is			
(are	) I hereby represent that I have agreed to convey all license privileges (permitted by law) to				
	. I (we) understand that I (we) <u>may not</u> relinquish contro inter the exact name(s) that is applying to become the new licensee) nises, or my interest in the licenses until such time as the buyer's application has been approved by the Office of Alcoholic Bevera				
Sig	nature of Seller Title Date to the control of the partners in th	ate			
	orn or affirmed before me on this day of, year of My Commission expires				
Nota	ary Public County of State of (Canadian applicants are exempt from this notary requirement)				
(G)	18. AFFIDAVIT OF BUYER OR NEW PERSON APPLYING FOR THE ABC LICENSE (S)	-			
or a belie	(print your name here) (□ Buyer or □ New Applicant), ffirm that all statements contained in this application and all its attachments are true and correct to the best of my knowledge of I further agree that I shall not engage in any activity involving alcoholic beverages at the premises described herein until I have opriate license(s) by the Office of Alcoholic Beverage Control. Once the license(s) is issued, I hereby swear or affirm that I well local statutes, regulations, and ordinances relating to the manufacture, sale, use, and trafficking in alcoholic beverages.	e, information and e been issued the			
	nature of Buyer or New Applicant Title Date _				
	orn or affirmed before me on this day of, year of My Commission expires				
iNOt	Ary Public County of State of				

Page 1 Schedule X Rev. 01/20/06

## SCHEDULE "X"

AIRPORTS, CONVENTION CENTERS, CONVENTION HOTEL COMPLEX, AUTOMOBILE RACE TRACKS, HORSE RACE TRACKS, ENTERTAINMENT DESTINATION CENTER LICENSES

Site I.D. #

			e Blank – For ABC Use Only		
License	#\$	Validating #	License #	\$	Validating # Validating #
License	# \$ verage Administrator's Approval		License #	\$	Validating # _ Date
	Spirits Administrator's Approval				Date
	- P				
(A) <b>A</b>	applicant's name(s) or	company to be lic	ensed		
(1.9.					
D.	B.A. (Name of Busines	s)			
Ac	Idress of premises to b	e licensed			
(B).	Are you applying for an Ai	irport Liguor & Wine by t	the Drink License?		□ Yes □ No
. ,	If yes, KRS 243.050 and 804 K				
	more than 500,000 passengers	arrive or depart annually?	?		□ Yes □ No
2.	Are you applying for a Malt Be	=			
	If yes, under KRS 243.280 are	your premises selling gase	oline, oil, or servicing motor	vehicles?	☐ Yes ☐ No
	If yes, do you maintain an inve	ntory not less than \$5,000	of food, groceries, and relate	ed products valued at co	ost? □ Yes □ No
3.	Are you applying for a <b>Conven</b>	ition Center Liquor. Wine	& Beer by the Drink Licen	ise?	□ Yes □ No
•	If yes, under KRS 243.050 and				
	ii yes, under KKO 245.000 and	OUT IVAIL 7.043 does you	r premises have a capacity i	or 1,000 or more person	3: 163 [ 140
4.	Are you applying for a In-Roon	n Hotel Bar License?			
	If yes, KRS 243.055 requires y	ou to have are be applying	g for a Kentucky Convention	Center Drink License.	
	Are you applying for a new CC	C license?  ☐ Yes ☐ No or	r are you currently licensed,	if yes, list your CCC#_	
5.	Are you applying for a Caterer	's Licansa at pramises the	at contains a commissary?		□ Ves □ No
J.	If yes, under KRS 243.033 and				
	health department?	•		•	•
6.	Are you applying for a <b>Horse R</b>		_		
	If yes, under KRS 243.050 and	•	•	· · · · · · · · · · · · · · · · · · ·	
	Kentucky Racing Commission?				
	If yes, have you attached a cop	by of your racing license is:	sued by the Kentucky Racing	g Commission?	Yes 🗆 No
7.	Are you applying for a <b>Automo</b>	bile Race Track Liquor,	Wine and Beer by the drinl	k License?	Yes □ No
	If yes, under KRS 243.050(5) of				
	, , , , , , , , , , , , , , , , , , , ,	, ,	5 , ,	, , ,	_, _
8.	Are you applying for a Suppler				
	If yes, under KRS 243.037 and	KRS 241.010(49) how ma	any additional bars do you w	rish to license?	<del>-</del>
9.	Are you applying for a <b>Enterta</b> i	inment Destination Cent	or Licanso?		□ Ves □ No
J.	If yes, 804 KAR 4:370 requires				
	of 100,000 square feet of build				
	Convention facility. Do you me	et these requirements?			
10.	Are you applying for a <b>Special</b>				Yes □ No
	If yes, under KRS 244.290 che	,, ,		n & Comphall Counties	(In the city limite of Frankfort for
	restaurants with 50% food sale	·	quur annk licenses in Kentor	i & Carrippell Counties.)	(In the city limits of Frankfort for
		,	iguor drink licenses that are	restaurants with seating	for at least 100 persons for dining
			•	•	in, Jefferson, Nelson Counties and
	Franklin County (outside their o	city limits only) and the Citi			
	Oak Grove, Paducah, Shelbyvi	,	(	0.050	. Kanananan at Alima isan
	Centers, Horse Race Tracks of			3.000 only to liquor arink	licensees at Airports, Convention
	Comoro, riordo riado Tracho Ol	a.ccome reade reades.	′/		

Page 2 – Schedule –X Rev. 01/20/06	Site ID #
(c). KRS 243.360 requires an applicant to <u>first advertise</u> their intention to apply for thes newspaper please use the attached example to assist you with this requirement. (If slicensed and only adding a Sunday or a supplemental bar license to your premises you to run this advertisement.)	you are currently
Place your advertisement in the <u>legal section</u> of the newspaper having the largest county or city where your premises will be located.	circulation for the
After your advertisement has appeared in the paper, obtain a clipping from the paper Affidavit of Publication to your ABC application. The Affidavit of Publication is enclose completed by an official of the newspaper where the advertisement appeared.	
I do hereby solemnly swear or affirm that all statements contained in this ap attachments are true and correct to the best of my knowledge, information and beliathis schedule into my basic application for a Kentucky alcoholic beverage license. It not begin to operate with alcohol activity until the Kentucky ABC Office has issued further swear or affirm I shall abide by all state and local statutes, regulations, and or to the manufacture, sale, use or and trafficking in alcoholic beverages.	ief. I incorporate understand I may my license(s). I
Signature of ApplicantTitleDa	ate
(E).  OBTAIN SIGNATURE OF YOUR LOCAL ABC ADMINISTRATOR'S APPROVAL  Your Local ABC Administrator must approve this application before it is forwarded to the State A  Take or mail this application and all attachments to your Local ABC Administrator. Obtain their signature of application arrangements for this approval to be sent to the State ABC Office.	
This certifies that the applicant(s) herein above named have been approved for the types of license approved premises above specified.	olied for and for the
SIGNATURE OF APPROVAL OF LOCAL ABC ADMINISTRATOR	Oate
☐ City ofAdministrator (or) the ☐ County of	Administrator

 $You\ may\ now\ forward\ this\ application,\ all\ attachments,\ and\ your\ state\ license\ fee\ to:$ 

KENTUCKY OFFICE OF ALCOHOLIC BEVERAGE CONTROL 1003 Twilight Trail Frankfort, Kentucky 40601-8400

> Telephone 502-564-4850 Fax 502-564-1442

Page 3 – Schedule X Rev. 01/20/06

# **TYPES OF LICENSE & FEES**

Site I.D. #

To determine the ABC license fee(s), find the license type(s)
In the left column, then move right across the table to the month that the license will become effective.

Check 
the boxes for the type(s) of license(s) you are applying for.

Attach a certified check, cashier check, or a money order.

Make payable to: KENTUCKY STATE TREASURER

WE DO NOT ACCEPT CASH!

LICENSE TYPE	PREFIX	~	FULL YEAR FEE Pay this amount	HALF YEAR FEE Pay this amount
☐ ENTERTAINMENT DESTINATION CENTER (Liquor / wine / beer by the drink) 804 KAR 4:370	EDC		7,500.00	3,750.00
☐ CONVENTION CENTER, CONVENTION HOTEL COMPLEX (liquor / wine / beer by the drink) KRS 243.050, 804 KAR 7:045	ccc		5,000.00	2,500.00
☐ IN-ROOM HOTEL BAR (liquor / wine) KRS 243.055	HI		200.00	100.00
☐ CATERER KRS 243.033, 804 KAR 4:310	CL		800.00	400.00
☐ HORSE RACE TRACK (liquor / wine / beer by drink)  KRS 243.050, 804 KAR 4:260	HR		2,000.00	1,000.00
☐ AUTOMOBILE RACE TRACK KRS 243.050(5) (Liquor / wine / beer by the drink)	AR		2,000.00	1,000.00
☐ AIRPORT LIQUOR DRINK KRS 243.050, 804 KAR 9:010(3) (Liquor / wine by the drink)	AL		1,000.00	500.00
□ SUPPLEMENTAL BAR (liquor / wine by drink) PRE BAR  KRS 243.037, KRS 241.010 (49)  (not necessary for CCC, EDC, Horse Race Track, or  Automobile  Race Track applicants.)  How many □ (no fee after 5 but, license is required.)  See Page 2 of the State Instruction Sheet to determine areas these  Licenses may be located.	SBL		Pay fee for the largest city in the county to be licensed.  1st Class city 1000.00 2nd. Class city 700.00 3rd. Class city 600.00 4th. Class city	Pay fee for the largest city in the county to be licensed.  1st Class city 500.00 2nd. Class city 350.00 3rd. Class city 300.00 4th. Class city
☐ <u>SD</u> SPECIAL SUNDAY RETAIL DRINK (liquor/wine) KRS 244.290	SD		500.00	250.00 250.00
□ <u>LS</u> SPECIAL SUNDAY RETAIL DRINK (liquor/wine) KRS 244.290	LS		500.00	250.00
□ <u>ESL</u> EXTENDED HOURS SUPPLEMENTAL SUNDAY DRINK (liquor/wine/beer) KRS 243.050	ESL		2,000.00	1,000.00
☐ MALT BEVERAGE RETAIL BEER KRS 243.280  (Not necessary for Convention Center, Entertainment Destination Center, Automobile Race Track, and Horse Race Track applicants.)	В		200.00	100.00
TOTAL				

Page 4-Schedule – X	
Rev 01/20/06	

Site ID #
-----------

<b>C</b>	Н	F	$\mathbf{C}$	K	L	107	Г
u	п	_	•	N			

1.	<ol> <li>We do not accept cash! Have you attached a certified check, cashier check or monopayable to: Ky. State Treasurer for your License fees and a separate check</li> </ol>							
	for your Kentucky Background checks?		□ Yes	□ No				
2.	Have the buyer and seller (if applicable) signed and had this application notari	ized?	□ Yes	□ No				
3.	Have you answered each question fully and checked the type(s) of license(s) you are applying for?		□ Yes	□ No				
4.	Have you signed your application(s) and had your signature notarized?		□ Yes	□ No				
	Have you secured the signature of approval from your local ABC Administrator on this application? Have you attached a certified copy of your newspaper advertisement for this license?		□ No					
7.	Have you attached articles of incorporation, partnership papers, or other organizational papers?	□ Yes	□ No	□ N/A				
8.	Our State ABC Administrators will not approve an ESL license for a CCC, HR, AR, or AL applicant unless the business to be licensed will promote tourism and the economic growth of Kentucky. If you are applying for an ESL license, you must attach a letter or documentation supporting these requirements. Have you attached this documentation?	□ Yes	□ №	□ N/A				

### FORWARDING YOUR APPLICATION TO THE KENTUCKY ABC OFFICE

You may now forward this application, all attachments, and your state license fee to:

Commonwealth of Kentucky
Office of Alcoholic Beverage Control
1003 Twilight Trail
Frankfort, Kentucky 40601-8400

Telephone (502) 564-4850

Fax (502) 564-1442 http://abc.ky.gov